IN THE UNITED STATES PATENT A	ND TRADEMARK OFFICE
JUN 1 0 2002 In reapplication of:	) Group Art Unit: Not Yet Determined
RADEMA Sestor ANNIBALI	) Examiner: Not Yet Determined
Serial No.: 09/955,259	) )
Filed: September 12, 2001	)
For: EXPRESSION OF A HUMAN INSULIN PRECURSOR IN P. PASTORIS	) )

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington, VA 22202

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated April 19, 2002, enclosed herewith for filing are the following documents:

TRANSMITTAL LETTER

- Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
- 2. Submission of Sequence Listing;
- 3. Sequence Listing;
- 4. 3 ½ Disk; and

## CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202

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June 5, 2002 Date of Deposit

PATENT 52071.00004

## 5. Postcard.

The Commissioner is authorized to charge Squire, Sanders & Dempsey's Deposit Account No. 07-1853 for any fees required under 37 CFR §§ 1.16 and 1.17 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayment to said Deposit Account No. 07-1853.

Respectfully submitted,

SQUIRE, SANDERS & DEMPSEY L.L.P.

Dated: June 5, 2002

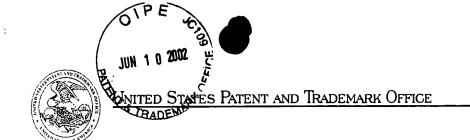
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/955,259

09/12/2001

Nestor Annibali

52071.4

**CONFIRMATION NO. 1187** 

FORMALITIES LETTER

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Squire, Sanders & Dempscy L.L.P. Suite 300 One MarltIme Plaza San Francisco, CA 94111

Date Mailed: 04/19/2002

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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A copy of this notice <u>MUST</u> be returned with the reply.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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ATTACHMENT TO PARTICE TO COMPLY WITH REQUIREMENTS...SEQUENCE DISCLOSURES"

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be submitted using one of the following methods:

1. Electronically submitted through EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual - ePAVE)

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